

United States Department of Agriculture  
Animal and Plant Health Inspection Service  
Plant Protection & Quarantine  
4700 River Road  
Riverdale, MD 20737

**Permit to Move Live Plant Pests, Noxious Weeds, and Soil**  
Importation  
Regulated by 7 CFR 330

This permit was generated electronically via the ePermits system

<b>PERMITTEE NAME:</b> Erika Rohr Luke	<b>PERMIT NUMBER:</b> P526P-18-00308
<b>ORGANIZATION:</b> Marrone Bio Innovations	<b>APPLICATION NUMBER:</b> P526-180102-047
<b>ADDRESS:</b> 1490 and 1530 Drew Avenue Davis, CA 95618	<b>FACILITY NUMBER:</b> 3576
<b>MAILING ADDRESS:</b> 1530 Drew Avenue Davis, CA 95618	<b>HAND CARRY:</b> No
<b>PHONE:</b> 530-750-2800	<b>DATE ISSUED:</b> 01/26/2018
<b>FAX:</b>	<b>DATE AMENDED:</b> 12/18/2019
<b>DESTINATION:</b> 1490 and 1530 Drew Avenue, Davis, CA 95618	<b>EXPIRES:</b> 01/26/2021
<b>DESIGNATED PORTS:</b> CA, El Segundo; CA, South San Francisco; FL, Miami (Cargo, DHL, Fed Ex, UPS, etc ); GA, Atlanta; NJ, Linden; NY, Jamaica; TX, Humble; WA, SeaTac	

Under the conditions specified, this permit authorizes the following:

<u>Regulated Article</u>	<u>Life Stage(s)</u>	<u>Intended Use</u>	<u>Shipment Origins</u>	<u>Originally Collected</u>	<u>Culture Designation</u>
Aureobasidium pullulans	Any	Research - Greenhouse (growth chamber and lab included)	New Zealand	Originally Collected from Outside the U.S. and Territories	
Epicoccum nigrum	Any	Research - Greenhouse (growth chamber and lab included)	New Zealand	Originally Collected from Outside the U.S. and Territories	incl Commercial product
Microcera (Fusarium) coccophila	Any	Research - Greenhouse (growth chamber and lab included)	New Zealand	Originally Collected from Outside the U.S. and Territories	
Trichoderma atroviride	Any	Research - Greenhouse (growth chamber and lab included)	New Zealand	Originally Collected from Outside the U.S. and Territories	
Trichoderma spp.	Any	Research - Greenhouse (growth chamber and lab included)	New Zealand	Originally Collected from Outside the U.S. and Territories	incl Commercial products
Ulocladium oudemansii (U3 strain) (EPA reg)	Any	Research - Greenhouse (growth chamber and lab included)	New Zealand	Originally Collected from Outside the U.S. and Territories	

**SPECIAL INSTRUCTIONS TO INSPECTORS**

Permit Number P526P-18-00308

THIS PERMIT HAS BEEN APPROVED ELECTRONICALLY BY THE FOLLOWING  
PPQ HEADQUARTER OFFICIAL VIA EPERMITS.



Osmond Baron

DATE

12/18/2019

WARNING: Any alteration, forgery or unauthorized use of this Federal Form is subject to civil penalties of up to \$250,000 (7 U.S.C.s 7734(b)) or punishable by a fine of not more than \$10,000, or imprisonment of not more than 5 years, or both (18 U.S.C.s 1001)

See permit conditions below

# INSTRUCTIONS TO DHS CBP AGRICULTURAL SPECIALISTS:

## SHIPMENT BY BONDED CARRIER

1. Confirm that the carrier of the shipment imported under this USDA PPQ 526 permit is commercially bonded.
2. Confirm that the imported shipment has a valid USDA PPQ Form 599 Red/White label attached to the exterior for routing to a USDA APHIS PPQ Inspection Station or other "Designated Port" as stated on the Permit. A valid label will have the permit number, expiration date, label number, and address of a USDA APHIS PPQ Plant Inspection Station/Designated Port.
3. Validate the permit in ePermits using the CBP search feature.
4. If a valid PPQ Form 599 Red/White label is not attached to the exterior of the package or the label has been covered or is otherwise not legible, then forward to the nearest USDA APHIS PPQ Plant Inspection Station.
5. If the address on the airway bill does not match the address on the PPQ Form 599 Red/White label then forward the package to the nearest USDA APHIS PPQ Plant Inspection Station/designated port shown on the PPQ Form 599 label. All costs associated with rerouting misaddressed packages will be assumed by the permit holder.

## INSTRUCTIONS TO USDA PPQ INSPECTORS AT PLANT INSPECTION STATIONS:

Follow the instructions in the Plant Inspection Station Manual for Low Risk Organisms - Arthropods or Microbials Red and White Label Packages (may be opened outside a biosafety cabinet; see procedures for handling on page 3-7-42).

For questions or concerns, contact the USDA APHIS PPQ Pest Permit Branch in Riverdale, MD, at 301-851-2046, toll free 866-524-5421.

## PERMIT GUIDANCE

Receipt or use of foreign isolates or samples from countries under sanctions requires specific permission from the U.S. Department of Treasury (see <http://www.treasury.gov/resource-center/sanctions/Programs/Pages/Programs.aspx> for current country/regional listings) for current country listings.

This permit does not authorize importation, interstate movement, and/or environmental release of strains of genetically engineered regulated organisms (created by the use of recombinant DNA technology).

If an animal pathogen is identified in your shipment, to ensure appropriate safeguarding, please refer to [http://www.aphis.usda.gov/import\\_export/animals/animal\\_import/animal\\_imports\\_anproducts.shtml](http://www.aphis.usda.gov/import_export/animals/animal_import/animal_imports_anproducts.shtml).

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This permit does not fulfill the requirements of other federal or state regulatory authorities. As appropriate, please contact the U.S. Environmental Protection Agency, the U.S. Fish and Wildlife Service, the U.S. Food and Drug Administration, the Centers for Disease Control and Prevention, the APHIS Veterinary Services unit, or your States Department of Agriculture to ensure proper permitting.

If you are considering renewal of this permit, an application should be submitted at least 90 days prior to the expiration date of this permit to ensure continued coverage. Permits requiring containment facilities may take a longer period of time to process.

### PERMIT CONDITIONS

This permit authorizes the importation of pure cultures or formulated products made with pure cultures of the listed organisms from the listed locations to Erika Rohr Luke of Marrone Bio Innovations in Davis, California for laboratory, growth chamber and greenhouse research in Containment Facility #3576 (CF#3576).

1. The permit holder must:
  - (a) comply with all requirements and permit conditions,
  - (b) maintain a valid permit so long as the regulated organisms are alive,
  - (c) this permit cannot be assigned or transferred to other persons.
  - (d) permittee must maintain a residence in the United States
  - (e) safeguard and dispose of the regulated organisms during the term of this permit,
  - (f) take all necessary precautions to prevent the unauthorized release of regulated organisms. In the event of an unauthorized release, the permit holder must notify the permit unit,
  - (g) adequately mitigate environmental impacts resulting from unauthorized release of regulated organisms,
  - (h) contain any/all organisms not authorized under this permit,
  - (i) notify the permit unit of the receipt of unauthorized organisms,
  - (j) notify the permit unit if facilities are destroyed or decommissioned for any reason,
  - (k) maintain an official permanent work assignment at the address on this permit,
  - (l) notify the permit unit in advance of any change in the permit holder's work assignment,
  - (m) destroy all regulated organisms prior to departure unless other arrangements are confirmed by the permit unit prior to the permit holder's departure, and
  - (n) notify the permit unit of the destruction of regulated organisms.

Notifications to the permit unit must be made via 866-524-5421 or [pest.permits@aphis.usda.gov](mailto:pest.permits@aphis.usda.gov) within one business day of the event triggering a notification.

2. Without prior notice and during reasonable hours, authorized PPQ and/or State regulatory officials shall be allowed to inspect the conditions associated with the regulated organisms authorized under this permit.

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3. All organisms must be shipped in packages of such materials and/or construction so as to prevent the unauthorized dissemination or release of regulated articles.
4. Upon receipt, all packages must be opened within a biosafety cabinet, that meets or exceeds Class II, Type A, in the permit holder's assigned laboratory facilities within CF#3576 to ensure the shipment contains only permitted organisms and is free of contaminants. Cultures must be in sealed containers during transport to or within the permit holder's assigned research facilities.
5. All packaging materials in contact with organisms and/or contaminants and all un-used and leftover organisms must be sufficiently heat or chemically treated to kill all plant pests and contaminants prior to disposal.
6. Plant inoculations using organisms that are listed only to the genus level or higher are restricted to the laboratory and growth chambers. Plant inoculations using organisms that are listed to the species level are authorized in the laboratory, growth chambers, and greenhouse.
7. All research activities, plant inoculations and subsequent disease development must occur in laboratories, growth chambers and/or greenhouses within CF#3576 that have been inspected and found adequate for containment of the organisms received under this permit. Access to laboratories, growth chambers and/or greenhouses must be restricted to authorized personnel.
8. Organisms must be maintained or stored in the permit holder's research facilities within CF#3576. All stored organisms must be kept in a locked area with access restricted to authorized personnel.
9. A record must be kept of all organisms maintained under this permit. Minimally this record will consist of the name of the organism identified to the lowest taxon possible, the country where each isolate was collected, and the date the isolate was received. This record will be made available upon request.
10. Upon completion of research, all inoculated plants, plant material, soil and/or growing media must be treated by heat or chemical means sufficient to kill, destroy, or otherwise deactivate plant pathogens. All materials used to conduct research must be decontaminated prior to reuse or disposal.
11. There is to be no further movement or distribution of organisms within the United States and its territories unless the recipient holds a valid permit for receipt of such organisms.
12. All persons working with these organisms must be informed of these permit conditions. Anyone working with these organisms must agree to and sign/ initial these conditions before beginning work. These signed conditions do not need to be submitted to USDA/ APHIS but must be readily accessible in the event of an inspection and presented upon request.

Note: these conditions may be copied and stored electronically for electronic signature and initialing provided that the permit number, authorized organisms and life stages, release locations if applicable, and authorization statement all appear on the document with the permit number. Signing these conditions only indicates that the person working under this permit has read them; the permit holder is the sole responsible party under this permit.

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13. Under the Plant Protection Act, individuals or corporations who fail to comply with these conditions and authorizations, or who forge, counterfeit, or deface permits or shipping labels may receive civil or criminal penalties, and may have all current permits cancelled and future permit applications denied.
14. Upon issuance of this permit (i.e., a signed PPQ 526), you will need to request the PPQ Form 599 Red/White labels at least 5 days in advance. If you applied online using ePermits, you may request the labels using the My Shipments/Labels feature. Otherwise, send your request to [Redandwhitelabelrequest@aphis.usda.gov](mailto:Redandwhitelabelrequest@aphis.usda.gov). All email requests must come from the permit holder or appointee. If requested by the appointee the permit holder must be Ccd on all requests. Specify the approved port as listed on the permit and the total number of labels needed in multiples of 4. You may request additional labels the same way. APHIS-PPQ will send you the labels by email as a pdf. You are responsible for printing this pdf to produce your individual bar coded import labels. You may email the pdf to your suppliers for them to print and attach to the shipment. You or your suppliers must print all labels in color. Failure to do so may result in refused entry or destruction of your package.


A label must be attached with clear tape to the exterior of each package being imported under this permit. The labels will include detailed shipping instructions. You are responsible for instructing your shipper to carefully follow these instructions. You are responsible for each import shipping label issued under this permit.

Enclose the following supplemental information in each shipment:

- Permittee Name
- Permit number
- Label number

Underlying packaging/wrapping must carry the address, billing, and any other information required to direct the shipment to its final destination (i.e., the permit holder's address; N.B., USDA APHIS does not defray any additional shipping costs incurred for transiting the shipment through an inspection station as the initial US destination).

### END OF PERMIT CONDITIONS

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